

Apportionment with Weighted Seats

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Abstract. Apportionment is the task of assigning resources to entities with different entitlements in a fair manner, and specifically a manner that is as proportional as possible. The best-known application is the assignment of parliamentary seats to political parties based on their share in the popular vote. Here we enrich the standard model of apportionment by associating each seat with a weight representing the (objective) value of that seat. A seat’s weight reflects the fact that different seats might come with different roles, such as chair or treasurer. We define several apportionment methods and natural fairness requirements for this new setting, and we study the extent to which our methods satisfy these requirements. Our findings show that full fairness is harder to achieve than in the standard apportionment setting. Yet, for several natural relaxations of those requirements we can achieve stronger results than in the more expressive model of fair division with entitlements, where the values of objects are subjective.

1 Introduction

Allocating resources in a proportional manner to entities with different entitlements, also known as *apportionment*, is a core problem of social choice [6]: in federal systems (e.g., the US), states receive seats in parliament based on their populations, while in proportional representation systems (e.g., the Netherlands), parties receive seats based on their share in the popular vote. Elsewhere, the need for apportionment arises in the context of fair allocation [17], the presentation of statistics [7] and the handling of bankruptcies [24]. But in line with the paradigmatic example of apportionment, for the rest of the paper we stick to the terminology of seats being assigned to parties.

The merits of different apportionment methods are well understood due to an elegant mathematical theory developed for the political realm [9, 34]. However, existing work remains limited by the assumption—often not met in practice—that all seats are of equal value. In this paper, we put forward an enriched model in which seats may have different weights reflecting their (objective) values.

There are numerous scenarios that fit this richer model, including the distribution of non-liquid assets in bankruptcies to beneficiaries with different entitlements, the assignment of positions on news websites to editorial domains (such as politics, business, or sports), based on the readership’s relative levels of interest in those domains, and the way special-purpose committees are constituted in the *Bundestag*, Germany’s national parliament [14], a setting of particular interest that we will discuss in more detail later.

In our enriched model we associate each seat with a weight representing its objective value, and approach proportionality through the lens of the total weight available. We find that generalisations of

the two central proportionality axioms of apportionment, *lower* and *upper quota*, are impossible to satisfy in general, but that apportionment methods that faithfully extend well-known standard methods satisfy natural relaxations of these axioms. The relaxations, based on the concept of satisfaction ‘*up to one*’ and ‘*up to any*’ seat, utilise an idea commonly seen in fair division [13, 15], that has also made its way to participatory budgeting [32, 12]. Additionally, we study *envy-freeness*, one of the central axioms in fair division [2], which turns out to be related to upper quota. We show that envy-freeness up to any seat, an axiom that is not always achievable in the general fair division setting, is satisfiable in our setting. Finally, we find that a direct generalisation of the *house monotonicity* axiom is prohibitively demanding, but weaker forms are readily satisfied by weighted counterparts of well-known apportionment methods.

Related work. Our aim is to assign seats of different weights to parties, similarly to how goods are assigned to agents in fair division [2]. Fair division is a more general problem, because agents in fair division are allowed to have different valuations for the goods, while in our model the weights of the seats are the same for all parties. There is also a subtle difference of focus: in much of fair division—and certainly the part of the literature considering relaxations of classical fairness notions—it is assumed that all agents deserve the same utility; in apportionment the central concerns stem directly from the fact that parties may have different entitlements. This divide is bridged by a growing literature on fair division for agents with different entitlements [25, 3, 5, 37], which studies the possibility of achieving proportional allocations for agents with (positive) cardinal utilities. This model of weighted fair division can be seen as a generalisation of our model of apportionment with weighted seats.¹

Most closely related to our work is a paper by Chakraborty et al. [17], to which we will refer often, that uses apportionment methods to produce picking sequences guaranteeing fair allocations in a fair division setting. This model can represent a wider range of scenarios than ours, albeit at a cost. The increased generality obtained by allowing agents to have different valuations makes it much harder to achieve proportionality. Indeed, we will see that the fairness guarantees provided by the fair division literature are significantly weaker than the ones achievable in our apportionment setting.

Let us now briefly review some further related lines of research from the computational social choice literature. The first consists of a family of models extending the standard model of apportionment, be it by allowing voters to cast ballots for more than one party [11], by

¹ Note that in the former setting, ‘weights’ refer to the weights of agents (i.e., their entitlements) whereas we use it to refer to the weights of seats.

allowing voters to rank the parties [1], or by taking a long-term perspective on apportionment [27]. More broadly, approval-based multiwinner voting [28] and related models [e.g., 19, 31] can be seen as generalisations of apportionment [10]. However, all of these works still assume that all seats are of equal value.

Participatory budgeting [35] generalises multiwinner voting by adding weights (candidates become projects with attached costs). This may look similar to the generalisation we propose here, but there are crucial differences: in participatory budgeting weights are attached to party members rather than seats; and seats in our setting are *private* (each is assigned to a single stakeholder) whereas funded projects in participatory budgeting are *public* (each is shared by all stakeholders). We will see later that this difference also manifests itself in the normative properties one can achieve.

Finally, our model can be seen as a special case of the public-decisions model of Conitzer et al. [23] when each issue’s alternatives are exactly the parties, and a party’s utility for a seat is that seat’s weight.

Contribution. We introduce a new model of apportionment with weighted seats that—in terms of expressivity—is located somewhere between the standard model (where seats are unweighted) and a previously studied model of fair division with entitlements.

The main take-away of our analysis is that obtaining good solutions to the apportionment problem is harder in the presence of weights, but positive results nonetheless are achievable for mild relaxations of standard axiomatic properties when appropriately generalised to our richer model. (The reader will find an overview of our main axiomatic results in Table 1 near the end of the paper.) We stress that we obtain stronger guarantees regarding the satisfaction of axioms than what is possible for the weighted fair division setting with subjective weights. We do so by using the objective weights to define weighted variants of several apportionment methods.

Outline. Section 2 introduces the weighted apportionment model. Sections 3 and 4 offer an axiomatic and a computational analysis of lower and upper quota properties, while Section 5 studies house monotonicity. Section 6 presents a case study with real-world data. Omitted proofs can be found in the full version of the paper [22].

2 The Model

We write $\mathcal{P}(U)$ for the sets of all subsets of a set U , and $[k]$ for the set $\{1, \dots, k\}$, where k is a positive integer.

In our model there are n voters, each voting for one of m parties, and the goal is to fill k seats of varying (objective) value with members of those parties, based on the votes.

We make two mild assumptions throughout. First, each party is approved by at least one voter. Second, to use a term borrowed from Lang and Skowron [29], we assume *full supply*: each party has at least k members, and is thus able to fill all available seats by itself.

A *vote vector* $\mathbf{v} = (v_1, \dots, v_m) \in [n]^m$, with $v_1 + \dots + v_m = n$, specifies how many votes (out of the total number n) each party $p \in [m]$ garnered. Each seat $t \in [k]$ is associated with a weight w_t indicating how valuable t is. The k seats to be filled are described by the *weight vector* $\mathbf{w} = (w_1, \dots, w_k) \in \mathbb{N}_{\geq 1}^k$, which lists the weights of the seats in non-increasing order. The *total weight* is $\omega = \sum_{t \in [k]} w_t$. A *seat assignment* is a vector $\mathbf{s} = (s_1, \dots, s_k) \in [m]^k$, where $s_t = p$ means that party $p \in [m]$ is assigned seat $t \in [k]$ with weight w_t . Given a seat assignment \mathbf{s} , we write $\mathbf{s}(p) = (t)_{s_t=p}$ for the vector of seats, in increasing order of index, assigned to party p under seat assignment \mathbf{s} . An *election instance* is a pair (\mathbf{v}, \mathbf{w}) of a vote vector \mathbf{v} and a weight vector \mathbf{w} . We speak of a *unit-weight*

instance in case $w_t = w_{t'}$ for all $t, t' \in [k]$.²

The core question of apportionment is how to distribute the available seats to parties in a *proportional* manner. This is typically formalised in terms of a party’s *quota*, i.e., the proportion of seats each party is entitled to. We do the same in our weighted setting, with the important caveat that the quota in this case is construed in terms of the total weight: the *quota of party* p is defined as $q(p) = \omega \cdot v_p/n$.

To judge whether a party satisfies its quota, we need to reason about the weights of the seats it obtained. This leads us to the notion of *representation*. Formally, the *representation of party* p derived from seat assignment \mathbf{s} is $r_{\mathbf{s}}(p) = \sum_{t \in \mathbf{s}(p)} w_t$, i.e., the sum of the weights of the seats assigned to p according to \mathbf{s} . For a weight vector \mathbf{w} , the set of all possible representation values a party can obtain from occupying up to $h \in [k]$ seats can be computed as follows:

$$R(\mathbf{w})_{[h]} = \left\{ \sum_{t \in T} w_t \mid T \in \mathcal{P}([k]) \text{ with } |T| \leq h \right\}.$$

We now turn to the methods used to assign seats to parties. A *weighted-seat assignment method* (WSAM) F takes an election instance (\mathbf{v}, \mathbf{w}) as input and maps it to a winning seat assignment $F(\mathbf{v}, \mathbf{w})$. We focus on two types of WSAMs, which generalise the most prominent methods for standard apportionment [9].

Definition 1 (Divisor methods). *Fix a function $f : \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}$. Given an election instance (\mathbf{v}, \mathbf{w}) , the divisor method for f works in k rounds. In round $t \in [k]$, seat t is given to the party p maximising:*

$$\text{ratio}_p = \begin{cases} \frac{v_p}{f(g_p(t), w_t)} & \text{if } f(g_p(t), w_t) \neq 0 \\ \infty & \text{if } f(g_p(t), w_t) = 0, \end{cases}$$

where $g_p(t)$ is the sum of the weights of the seats given to party p in earlier rounds. If required, a tie-breaking rule is used to choose between parties with equal ratio.

Intuitively, divisor methods allocate the available seats sequentially, starting with the most valuable seat, and based on the ratio between v_p and $f(g_p(t), w_t)$. Note that the use of $g_p(t)$, i.e., the total *weight* (rather than the number) of seats assigned to party p by round t reflects our strategy for generalising apportionment to the weighted setting. It is, of course, possible to allocate the seats in a different (fixed) order but, to anticipate results to come, starting with the most valuable seat leads to particularly nice axiomatic properties.

Only certain choices for the function f lead to reasonable divisor methods. In the unit-weight apportionment setting, it is common to set $f(g_p(t), w_t)$ to $g_p(t)$ (Adams), $g_p(t) + 0.5$ (Sainte-Laguë), or $g_p(t) + 1$ (D’Hondt).³ As our focus is on upper and lower quota, we narrow attention to Adams, the unique divisor method satisfying upper quota, and D’Hondt, the unique divisor method satisfying lower quota [8]. These rules can be generalised to our setting as follows.

Definition 2 (Adams $_{\omega}$ and D’Hondt $_{\omega}$). *Adams $_{\omega}$ is the divisor method defined by $f(g_p(t), w_t) = g_p(t)$ and D’Hondt $_{\omega}$ is the divisor method defined by $f(g_p(t), w_t) = g_p(t) + w_t$.*

Second on our list, the largest remainder method (LRM) assigns each party their lower quota of seats, as defined below, and then assigns the remaining seats based on the fractional remainder of each party’s quota.⁴ But as we will see (Proposition 2), this would not work in the weighted setting. Instead, we put forward the following procedure.

² The standard model of apportionment deals with such unit-weight instances.

³ Sainte-Laguë, and D’Hondt are also known as *Webster* and *Jefferson*.

⁴ LRM is also known as the *Hamilton* method in the literature.

Definition 3 (Greedy Method). *In each round $t \in [k]$, the seat t with weight $w_t \in \mathbf{w}$ is assigned to the party p for which $q(p) - g_p(t)$ is maximal, with ties broken arbitrarily whenever they arise.*

Without weights, the Greedy method reduces to LRM.

Example 1. Consider three parties obtaining votes $\mathbf{v} = (60, 30, 10)$ and four seats of weights $\mathbf{w} = (10, 6, 4, 2)$ waiting to be filled. Adams_ω maximises the ratio $v_p/g_p(t)$. Since $g_p(t) = 0$ before a party receives any seats, each party gets a seat after the first three rounds; assume tie-breaking assigns party 1, 2 and 3 seat 1, 2 and 3, respectively, for the partial assignment $\mathbf{s} = (1, 2, 3, _)$. At round $t = 4$, ratio_p is maximised by party 1, with $\text{ratio}_1 = 60/10$ versus $\text{ratio}_2 = 30/6$ and $\text{ratio}_3 = 10/4$. The final assignment is $\mathbf{s} = (1, 2, 3, 1)$. D’Hondt $_\omega$ maximises the ratio $v_p/(g_p(t)+w_t)$. Assigning the first seat to party 1 gives a ratio of $60/(0+10)$, versus $30/(0+10)$ and $10/(0+10)$ for parties 2 and 3, respectively, so this seat goes to party 1. The second seat goes to party 2. For the third seat we calculate $\text{ratio}_1 = 60/(10+4)$, $\text{ratio}_2 = 30/(6+4)$ and $\text{ratio}_3 = 10/(0+4)$, so this seat goes to party 1. The final assignment is $\mathbf{s} = (1, 2, 1, 3)$. For the Greedy method, the quotas $q(p) = \omega \cdot v_p/n$ are $q(1) = 13.2$, $q(2) = 6.6$, and $q(3) = 2.2$. So Greedy returns the seat assignment $\mathbf{s} = (1, 2, 1, 3)$, just as D’Hondt $_\omega$. \triangle

Note that the WSAMs defined above use the total weight of the seats to determine the assignment, in contrast to Chakraborty et al. [17] who use the number of seats assigned to each party. Thus, the methods of Chakraborty et al. do not directly generalise our WSAMs.

Regarding terminology, while the axioms that follow are defined as properties of seat assignments, we say that a WSAM F satisfies property \mathcal{P} if for every election instance (\mathbf{v}, \mathbf{w}) it is the case that every seat assignment $\mathbf{s} \in F(\mathbf{v}, \mathbf{w})$ satisfies property \mathcal{P} .

3 Lower Quota

In the standard apportionment setting, a perfectly proportional allocation would give each party p the share of seats that corresponds precisely to its vote share. Since there is no guarantee that this share, calculated as $k \cdot v_p/n$, is an integer, the immediate fallback is a *lower quota* axiom stating that each party p should receive *at least* $\lfloor k \cdot v_p/n \rfloor$ seats [9, 34]. For our weighted-seat setting it would thus be natural to define the *weighted lower quota* as $\lfloor \omega \cdot v_p/n \rfloor$. However, the following example shows that such a quota is not guaranteed to be achievable, even in the simplest case of two parties and two seats.

Example 2. Consider two parties with $\mathbf{v} = (1, 1)$ and $\mathbf{w} = (99, 1)$. So $\lfloor \omega \cdot v_p/n \rfloor = 50$ for both parties $p \in [2]$, but there is no way for both to receive seats with weight at least 50. \triangle

Intuitively, the problem is that there may be no combination of seats that would give each party its weighted lower quota. As a workaround, we restrict the lower quota of party p to the values p can achieve with the number of seats it deserves, i.e., $\ell^\#(p) = \lfloor k \cdot v_p/n \rfloor$. We then use this quantity to determine the party’s *obtainable lower quota of weights* $\ell^\circ(p) = \max \{w \in R(\mathbf{w})_{[\ell^\#(p)]} \mid w \leq q(p)\}$. We can now define our first proportionality property.

Definition 4 (Obtainable Weighted-seat Lower Quota, WLQ°). *A seat assignment \mathbf{s} provides WLQ° if for every party p it is the case that $r_{\mathbf{s}}(p) \geq \ell^\circ(p)$.*

Note that for unit weights, WLQ° is equivalent to the standard lower quota. But in the weighted setting, computing $\ell^\circ(p)$ requires solving

a SUBSETSUM problem and can hence not be done in polynomial time, unless $\text{P} = \text{NP}$.⁵ Still, there is good news for simple scenarios.

Proposition 1. *For every election instance with two parties there exists a seat assignment that satisfies WLQ° .*

But with more than two parties, WLQ° is not always achievable.

Proposition 2. *There are election instances for which there exists no seat assignment that provides WLQ° .*

Proof. Consider vote vector $\mathbf{v} = (1, 1, 1)$ for three parties, and weight vector $\mathbf{w} = (3, 2, 1)$. We get $\ell^\circ(p) = 2$ for each party $p \in [3]$. But there exists no seat assignment that provides at least a weight of 2 to all three parties. \square

While WLQ° cannot always be satisfied, one might still ask for a WSAM that delivers an allocation satisfying WLQ° on instances where this is possible. Unfortunately, the following result shows that this is computationally intractable. The proof involves a reduction from the well-known NP-complete problem PARTITION.

Proposition 3. *If there exists a polynomial-time algorithm α that finds a seat assignment \mathbf{s} that provides WLQ° whenever such a seat assignment exists, then $\text{P} = \text{NP}$. This holds even when restricted to the case where there are only two parties.*

But with extra assumptions, we obtain a more positive result.

Proposition 4. *For a constant number of parties and weights in \mathbf{w} that are polynomial in the input size, finding a seat assignment \mathbf{s} that provides WLQ° can be done in polynomial time, assuming such a seat assignment exists.*

Proof. We describe a dynamic programming algorithm that finds a seat assignment \mathbf{s} providing WLQ° , whenever one exists.

Consider an election instance with m parties. The algorithm works as follows. For each $i \in [k]$ (where i represents the number of seats assessed thus far), it computes \mathcal{W}_i which is a set of tuples of the form (W_1, \dots, W_m) . Here, W_p indicates the sum of seat weights of the seats assigned to party $p \in [m]$.

Each \mathcal{W}_{i+1} can be computed using \mathcal{W}_i and the weight w_{i+1} , by looking at every combination of some tuple in \mathcal{W}_i and some choice of party to assign the weight- w_{i+1} seat to. Once \mathcal{W}_k is computed, we can check every tuple in \mathcal{W}_k and for each tuple, assess whether it satisfies WLQ° (which can be done in polynomial time). Specifically, this check can be done for each tuple (W_1, \dots, W_m) by assessing whether $W_p \geq \ell^\circ(p)$ for every party $p \in [m]$. From the assumption on the weights in \mathbf{w} and the observation that computing ℓ° requires solving an instance of Subset Sum, we can apply a dynamic programming algorithm for the latter problem to compute $\ell^\circ(p)$ in polynomial time. And finally, there are at most ω^{2m} such tuples, which is polynomially many in the input size due to the assumptions on the weights in \mathbf{w} and the number of parties being constant. Thus, this algorithm runs in polynomial time. \square

The assumptions of Proposition 4 may be restrictive, but they fit the scenarios envisioned for our model, which are not likely to feature a number of parties, or weight values, exponential in the input size.⁶

We have, as of yet, made no inroads towards our goal of finding an achievable lower quota property. To do so, it is helpful to view

⁵ We assume that weights are encoded in binary.

⁶ We note that it remains unclear whether there exists a pseudo-polynomial-time algorithm for the case of a superconstant number of parties.

lower quota in the unit-weight setting from a different perspective: instead of thinking of it as the closest value to the quota that can be obtained in practice, we interpret it as guaranteeing that each party p is at most one seat away from *surpassing* its quota. To make this interpretation work with weighted seats one must specify which seat, amongst those not assigned to it, a party has to additionally receive in order to surpass its quota. We parse this in three ways.

Definition 5 (WLQ up to one seat, WLQ-1). *A seat assignment \mathbf{s} provides WLQ-1 if, for every party p , either $r_{\mathbf{s}}(p) \geq q(p)$, or there exists some seat $t \in [k] \setminus \{t' \in \mathbf{s}(p)\}$ such that $r_{\mathbf{s}}(p) + w_t > q(p)$.*

Definition 6 (WLQ up to any seat, WLQ-X). *A seat assignment \mathbf{s} provides WLQ-X if, for every party p , either $r_{\mathbf{s}}(p) \geq q(p)$ or for every seat $t \in [k] \setminus \{t' \in \mathbf{s}(p)\}$, it holds that $r_{\mathbf{s}}(p) + w_t > q(p)$.*

Definition 7 (WLQ up to any seat from a sufficiently represented party, WLQ-X-r). *A seat assignment \mathbf{s} provides WLQ-X-r if, for every party p , either $r_{\mathbf{s}}(p) \geq q(p)$ or for every seat $t \in \{t' \in \mathbf{s}(p^*) \mid p^* \in [m] \setminus \{p\}, r_{\mathbf{s}}(p^*) > q(p^*)\}$, it holds that $r_{\mathbf{s}}(p) + w_t > q(p)$.*

WLQ-1 states that for each party p , there exists a seat it can additionally receive so as to surpass $q(p)$. WLQ-X states that each party p would surpass $q(p)$ if it were to receive any one of the additional seats. WLQ-X-r can then be seen as a weakening of WLQ-X where not all seats are considered, but only the seats that have been assigned to parties that have exceeded their representation quota. The intuition behind this requirement is that if one party receives more than its quota, then this is justified by the fact that we could give none of its seats to another party without that party exceeding its quota. Observe that all three axioms are equivalent to lower quota if restricted to unit-weight instances.

Let us clarify the relations between these requirements. Clearly, WLQ-X implies WLQ-X-r, which in turn implies WLQ-1. It turns out that WLQ° is incomparable to WLQ-X-r (and thus to WLQ-X).

Example 3. Consider two parties, votes $\mathbf{v} = (1, 1)$ and weights $\mathbf{w} = (97, 1, 1, 1)$. For each party $p \in [2]$, we have $q(p) = 50$ and $\ell^\circ(p) = 2$. The seat assignment $\mathbf{s} = (1, 1, 2, 2)$ satisfies WLQ° but not WLQ-X-r since party 1 is sufficiently represented and party 2 could also receive seat 2 without surpassing $q(2) = 50$. \triangle

In the other direction, Proposition 3 and (the upcoming) Proposition 6 give us that WLQ-X does not imply WLQ° , assuming $P \neq NP$ (see the full paper for an explicit example showing this [22]). We follow up by investigating the relationship between WLQ° and WLQ-1.

Proposition 5. *WLQ° implies WLQ-1.*

Are the new axioms easier to satisfy than WLQ° ? First, for the two-party case, we find that not only can WLQ-X always be provided, but it is even possible to do so efficiently.

Proposition 6. *For two parties, a seat assignment providing WLQ-X always exists and can be found in polynomial time.*

In other words, for two parties, we find that WLQ-X is easier to satisfy than WLQ° . Unfortunately, this does not extend to the case of more than two parties as a result due to Aziz et al. [3] can be interpreted as showing that a seat assignment providing WLQ-X may not exist for election instances with three or more parties. While determining how difficult it is to decide whether an assignment providing WLQ-X is possible for a given scenario is left for future work, a minor adjustment to the dynamic programming algorithm of Proposition 4 yields the following positive result under certain assumptions.

Proposition 7. *Given a constant number of parties and the weights in \mathbf{w} being polynomial in the input size, finding a seat assignment \mathbf{s} that provides WLQ-X can be done in polynomial time, assuming such a seat assignment exists.*

Proof. If we alter the the dynamic programming algorithm from Proposition 4 to also keep track of the smallest seat weight l_p assigned to each party p (alongside its sum of seat weights W_p) within the tuples in \mathcal{W}_i , then we can use the modified, final set of tuples \mathcal{W}_k to check in polynomial time whether WLQ-X is satisfied. \square

Following the mostly negative results regarding WLQ° and WLQ-X, we take aim at the weaker requirement of WLQ-X-r, and (finally!) find a positive result.

Theorem 8. *The Greedy method satisfies WLQ-X-r.*

Proof. Suppose WLQ-X-r is violated by some seat assignment \mathbf{s} returned by the Greedy method. Let party p_x be the party that witnesses it, i.e., $r_{\mathbf{s}}(p_x) < r_{\mathbf{s}}(p_x) + w_t \leq q(p_x)$ for some seat $t \in \{t' \in \mathbf{s}(p^*) \mid p^* \in [m] \setminus \{p\}, r_{\mathbf{s}}(p^*) > q(p^*)\}$. As party p_x has less than $q(p_x)$ in representation, there must be a party p_y where $r_{\mathbf{s}}(p_y) > q(p_y)$. Let h be the round after which party p_y has more than $q(p_y)$ in representation (so party p_y was assigned seat h). By choice of round h , we have $g_{p_y}(h) + w_h > q(p_y)$, so it holds that $w_h > q(p_y) - g_{p_y}(h)$. Thus, we have that $w_h > q(p_y) - g_{p_y}(h)$, and since party p_x was not assigned seat h , we know that $q(p_y) - g_{p_y}(h) \geq q(p_x) - g_{p_x}(h)$. It then follows that $q(p_x) < g_{p_x}(h) + w_h \leq r_{\mathbf{s}}(p_x) + w_h$. So seat h is enough for party p_x to reach $q(p_x)$, and the same holds for seats assigned to party p_y in prior rounds (given that seats are assigned in non-increasing order). \square

Recall that in standard apportionment, LRM is known to satisfy LQ [9]. In this light, Theorem 8 further justifies the Greedy method as a weighted proxy of LRM. Recall furthermore that in the standard setting LQ is also satisfied by D'Hondt; we now find that D'Hondt's weighted equivalent, $D'Hondt_\omega$, satisfies WLQ-X-r.

Theorem 9. *The $D'Hondt_\omega$ method satisfies WLQ-X-r.*

Proof. For a seat assignment \mathbf{s} returned by $D'Hondt_\omega$, for the sake of contradiction, assume that there is a party p_x such that there exists some $t \in \{t' \in \mathbf{s}(p^*) \mid p^* \in [m] \setminus \{p\}, r_{\mathbf{s}}(p^*) > q(p^*)\}$ such that $r_{\mathbf{s}}(p_x) < r_{\mathbf{s}}(p_x) + w_t \leq q(p_x)$.

Thus, we know that $v_{p_x}/(g_{p_x}(k) + w_t) \geq v_{p_x}/q(p_x)$ for some $t \in \{t' \in \mathbf{s}(p^*) \mid p^* \in [m] \setminus \{p\}, r_{\mathbf{s}}(p^*) > q(p^*)\}$, where $g_{p_x}(k)$ is the total weight assigned to party p_x at $D'Hondt_\omega$'s conclusion. This gives us the following:

$$\frac{v_{p_x}}{g_{p_x}(k) + w_t} \geq \frac{v_{p_x}}{q(p_x)} = \frac{v_{p_x}}{\omega \cdot v_{p_x}/n} = \frac{n}{\omega} \quad (1)$$

During $D'Hondt_\omega$, there must be some round h where some party $p_y \neq p_x \in [m]$ is assigned weight w_h such that $n/\omega > v_{p_y}/(g_{p_y}(h) + w_h)$. Assume otherwise and that for every party $p \in [m] \setminus \{p_x\}$ it holds that $v_p/g_p(k) \geq n/\omega$ after $D'Hondt_\omega$'s k rounds. Then we have that $\omega \cdot v_p/n \geq g_p(k)$ for all $p \in [m] \setminus \{p_x\}$. Summing over all parties with $\omega \cdot v_p/n > g_{p_x}(k)$ for party p_x , we get $\sum_{p \in [m]} \omega \cdot v_p/n = \omega > g_{p_x}(k) + \sum_{p \in [m] \setminus \{p_x\}} g_p(k)$, so $D'Hondt_\omega$ did not assign all of the weight, contradicting its definition. So, there must exist some round h where for some party p_y , we have:

$$\frac{n}{\omega} > \frac{v_{p_y}}{g_{p_y}(h) + w_h} \quad (2)$$

Since weight w_h was assigned to party p_y in round h , and not to party p_x , we have that $\frac{v_{p_y}}{g_{p_y}(h)+w_h} \geq \frac{v_{p_x}}{g_{p_x}(h)+w_h}$, where $h \in \{t' \in \mathbf{s}(p^*) \mid p^* \in [m] \setminus \{p\}, r_{\mathbf{s}}(p^*) > q(p^*)\}$. And also considering the fact that $g_{p_x}(h) \leq g_{p_x}(k)$, it follows that:

$$\frac{v_{p_y}}{g_{p_y}(h)+w_h} \geq \frac{v_{p_x}}{g_{p_x}(h)+w_h} \geq \frac{v_{p_x}}{g_{p_x}(k)+w_h} \quad (3)$$

Putting equations (1), (2), and (3) together, it follows that $n/\omega > v_{p_y}/(g_{p_y}(h)+w_h) \geq n/\omega$. This is a contradiction, so no such party p_x can exist. Note that we considered a seat weight w_h assigned to some party p_y in round h , such that p_y surpasses its quota. And such a weight w_h is sufficient in aiding party p_x in reaching $q(p_x)$. This holds for all seats assigned to party p_y before round h (as such seats h^* have weight $w_{h^*} \geq w_h$), and also those seats assigned to party p_y after round h (as such seats h^* are only assigned to party p_y , and not some party p_x below its quota $q(p_x)$ in that round, if the weight w_{h^*} would lead to party p_x reaching said quota). \square

This improves on a result of Chakraborty et al. [17] stating that D'Hondt satisfies an axiom called WPROP1 (see their Theorem 4.9),⁷ which is weaker than WLQ-X-r. The importance of our findings is strengthened by observing that the ‘up to any’ properties are, in many scenarios, much stronger than the equivalent ‘up to one’ properties, especially if the values of objects vary a lot. For instance, consider how our WSAMs would handle the allocation of non-liquid assets in a bankruptcy. Using the monetary value of the assets as their weights, we might have a few very valuable assets (e.g., a house or other property), together with assets of much lower value (e.g., furniture). In such a case ‘up to one’ properties can become essentially meaningless, while ‘up to any’ properties are still meaningful. Crucially, our stronger result does not only stem from our restricted setting but also from our use of weighted D'Hondt $_{\omega}$, as standard D'Hondt—used by Chakraborty et al. [17]—does not satisfy WLQ-X-r in our setting. The next example shows this.

Example 4 (Standard D'Hondt fails WLQ-X-r). Consider two parties with votes $\mathbf{v} = (10, 2)$ and the weight vector $\mathbf{w} = (10, 1, 1)$. Standard D'Hondt assigns all three seats to party 1: in the three rounds party 1 has the ratios 10, 5, and 2.5, respectively, versus party 2's ratio of 2 in all three rounds. Thus, party 2 has representation of 0 from the resulting seat assignment and no weight-1 seats are enough to add so that party 2 exceeds its quota of $q(2) = 2$. However, observe that the seat assignment determined by standard D'Hondt provides WLQ-1, while our WSAM D'Hondt $_{\omega}$ returns the seat assignment $\mathbf{s} = (1, 2, 2)$. Also, this seat assignment \mathbf{s} not only provides WLQ-X-r, but it is intuitively a much fairer outcome. \triangle

Example 4 illustrates the usefulness of objective weights for seats, and motivates our use of WSAMs to satisfy the stronger ‘up-to-any’ properties. On the other hand, Adams $_{\omega}$ fails even WLQ-1 (an example can be found in the full version of the paper [22]). This is unsurprising, as it is known to violate LQ, which, as mentioned above, is equivalent to WLQ-1 in the standard unit-weight case [9].

4 Upper Quota

Parties should get at least as many seats as they deserve (lower quota), but also not more than appropriate: the latter bound is captured by an *upper quota* (UQ) property. In standard apportionment,

⁷ WPROP1 is similar to WLQ-1 but defined with a weak inequality in the condition on the existence of a seat of sufficient weight. This axiom has been frequently studied in settings more general than ours [3, 30, 33, 4], along with generalisations such as that of Chakraborty et al. [18].

UQ states that a party p amassing v_p of the n votes should receive *at most* $\lceil k \cdot v_p/n \rceil$ of the k seats [9]. As with lower quota, there is no hope of satisfying the naïve weighted upper quota notion defined by $\lceil \omega \cdot v_p/n \rceil$. We can then define an obtainable upper quota as for the obtainable weighted lower quota $\ell^o(p)$, but the results for the corresponding axiom are very similar to the results for WLQ o and, as we show in the full version of the paper, they are similarly negative [22]. We thus move on to ‘up-to-one/any’ notions, which will allow us to define satisfiable LQ axioms.

Definition 8 (WUQ up to one seat, WUQ-1). *A seat assignment \mathbf{s} provides WUQ-1 if, for every party p , either $r_{\mathbf{s}}(p) \leq q(p)$ or there exists some seat $t \in \mathbf{s}(p)$ such that $r_{\mathbf{s}}(p) - w_t < q(p)$.*

Definition 9 (WUQ up to any seat, WUQ-X). *A seat assignment \mathbf{s} provides WUQ-X if, for every party p , either $r_{\mathbf{s}}(p) \leq q(p)$ or for every seat $t \in \mathbf{s}(p)$, it holds that $r_{\mathbf{s}}(p) - w_t < q(p)$.*

WUQ-X states that, for every party p , disregarding any seat it received would take it below $q(p)$, while for WUQ-1 there need only exist one such seat assigned to party p to take it below $q(p)$. With unit weights, both axioms reduce to UQ. Observe that there is no natural way of defining a counterpart to WLQ-X-r. Finally, note that WUQ-X implies WUQ-1.

Next, we ask whether upper-quota axioms can be satisfied. A natural candidate is Adams $_{\omega}$, as it is known to satisfy UQ for unit weights [9]. Indeed, Adams $_{\omega}$ even satisfies the stronger notion of WUQ-X, in stark contrast to WLQ-X, which is not satisfiable in general. We show that Adams $_{\omega}$ satisfies WUQ-X by showing that it satisfies the following *envy-freeness* axiom [36].

Definition 10 (Weighted envy-freeness up to any seat, WEFX). *A seat assignment \mathbf{s} provides WEFX if for any two parties p_x, p_y , it holds for every seat $t \in \mathbf{s}(p_y)$ that $r_{\mathbf{s}}(p_x)/v_{p_x} \geq (r_{\mathbf{s}}(p_y) - w_t)/v_{p_y}$.*

WEFX ensures that no party prefers the representation afforded to another party. Conceptually, this is similar to upper quota, stating that no party is represented more than it deserves. The next result provides a formal connection between envy-freeness and upper quota.

Proposition 10. *WEFX implies WUQ-X.*

On the other hand, it is easy to see that the following axiom, WEF1, does not imply WUQ-X (a counterexample is in the full paper [22]).⁸

Definition 11 (Weighted envy-freeness up to one seat, WEF1). *A seat assignment \mathbf{s} provides WEF1 if for any two parties p_x, p_y , there exists some seat $t \in \mathbf{s}(p_y)$ such that $r_{\mathbf{s}}(p_x)/v_{p_x} \geq (r_{\mathbf{s}}(p_y) - w_t)/v_{p_y}$.*

That WEF1 implies WUQ-1 follows from similar reasoning to that showing that WEFX implies WUQ-X.

Theorem 11. *The Adams $_{\omega}$ method satisfies WEFX.*

Proof. Suppose there are two parties p_x, p_y with $r_{\mathbf{s}}(p_y)/v_{p_y} < r_{\mathbf{s}}(p_x)/v_{p_x}$, i.e., party p_y envies party p_x . Now, consider the last seat t that was assigned to party p_x by Adams $_{\omega}$ in round h . Since this seat was assigned to party p_x , we have that $v_{p_x}/g_{p_x}(h) \geq v_{p_y}/g_{p_y}(h)$. And as seat t was the last seat assigned to party p_x , we get: $r_{\mathbf{s}}(p_y)/v_{p_y} \geq g_{p_y}(h)/v_{p_y} \geq g_{p_x}(h)/v_{p_x} = (r_{\mathbf{s}}(p_x) - w_t)/v_{p_x}$. So, removing seat t from party p_x means party p_y no longer envies p_x , and as all seats assigned to p_x prior to seat t have weight at least as large as w_t , removing any of these seats is sufficient to remove p_y 's envy. \square

⁸ One can also show that the weakening of WEF1 known as WFEF1 [16] does not imply WUQ-1 [22].

It is known that WEFX can be achieved in our setting due to this being the case for the setting of weighted fair division under certain restrictions imposed for that model [36]. Still, WEFX being achievable with the use of a simple method such as our weighted Adams $_{\omega}$ is an important new insight that strengthens the practical relevance of this positive finding. This improves on results showing that Adams can be used to achieve WEF1 [16]. Indeed, finding a rule that satisfies WEFX in the more general setting of Chakraborty et al. [17] has recently been shown to be impossible by Springer et al. [36], while showing the existence of EFX allocations in the standard fair division setting remains one of the major open questions in fair division [2].

Our next result is a direct corollary of Proposition 10.

Corollary 12. *The Adams $_{\omega}$ method satisfies WUQ-X.*

In view of the fact that Adams $_{\omega}$ does not satisfy WLQ-1, can envy-freeness and lower quota be satisfied at the same time? This is not possible, as WEF1 and WLQ-1 are incompatible. Chakraborty et al. [16] showed this for the case where voters may value the seats differently, but their work also shows that this negative result holds in our more restricted setting with identical valuations.

Proposition 13. *WEF1 and WLQ-1 are incompatible.*

Can we at least satisfy upper- and lower-quota axioms at the same time? D’Hondt $_{\omega}$ does not satisfy UQ in the unit-weight case so it cannot satisfy WUQ-1 (see the full paper for an example [22]). The Greedy method, however, is a contender due to its connection to LRM, which satisfies UQ [9]. As it satisfies WLQ-1 it cannot satisfy WEF1, but it does satisfy WUQ-X.

Theorem 14. *The Greedy method satisfies WUQ-X.*

Proof. Take a seat assignment \mathbf{s} constructed by the Greedy method. Assume there is a party p_x that received more representation than $q(p_x)$, i.e., $r_{\mathbf{s}}(p_x) = g_{p_x}(k) > q(p_x)$, otherwise, WUQ-X is satisfied. Let t be the round after which $g_{p_x}(t) > q(p_x)$ holds, and so $g_{p_x}(t) - w_t < q(p_x)$ also holds. We argue that party p_x does not get assigned any seat $t^* > t$. Observe that in every round $t' \in [k]$, there always exists a party p_y such that $q(p_y) - g_{p_y}(t') \geq 0$ as we have $\sum_{p \in [m]} q(p) = \omega$. It then follows, for every round $t^* > t$, that $q(p_y) - g_{p_y}(t^*) \geq 0 > q(p_x) - g_{p_x}(t^*)$ and hence, party p_x cannot be assigned seat t^* . Thus, the Greedy method satisfies WUQ-1. However, for all seats $j < t$ assigned to party p_x thus far, since $w_j \geq w_t$, it follows that $g_{p_x}(t) - w_j < q(p_x)$, and this means that removing any seat assigned to party p suffices for this party to not be above its weighted quota and thus, WUQ-X is also satisfied. \square

5 House Monotonicity

Why focus on D’Hondt $_{\omega}$ or Adams $_{\omega}$ when the Greedy method satisfies both WLQ-1 and WUQ-X? The answer lies with *house monotonicity*, which asks that an increase in the number of available seats should not make any party worse off. Historically, its failure has been the cause of much political animosity [38]. In standard apportionment, LRM satisfies LQ and UQ but fails house monotonicity, whereas divisor methods satisfy it [9, 34]. We note that Chakraborty et al. [17] also study house monotonicity (under the name of *resource monotonicity*) in their setting of weighted fair division. We first consider a strong generalisation of the axiom of house monotonicity that states that the addition of a seat of *any weight* does not lead to a decrease of any party’s weight representation.

Definition 12 (Full House Monotonicity, full-HM). *We say a WSAM F satisfies full-HM if for every election instance (\mathbf{v}, \mathbf{w}) and every $w^* \in \mathbb{N}_{\geq 1}$ such that $\mathbf{w}^* = (w')_{w' \in W}$ is a non-increasing weight vector where $W = \{w \in \mathbf{w}\} \cup \{w^*\}$, it holds for $\mathbf{s} \in F(\mathbf{v}, \mathbf{w})$ and $\mathbf{s}^* \in F(\mathbf{v}, \mathbf{w}^*)$ that $r_{\mathbf{s}^*}(p) \geq r_{\mathbf{s}}(p)$ for every party $p \in [m]$.*

Full-HM can always be satisfied, e.g., by non-weighted divisor methods [17]. But, as we saw before, these methods do not satisfy the strongest quota axioms, WLQ-X-r and WUQ-X. Unfortunately, the more desirable WSAMs we defined do not satisfy full-HM.

Proposition 15. *Adams $_{\omega}$ and D’Hondt $_{\omega}$ fail full-HM.*

Proof. Let us first consider Adams $_{\omega}$ and consider an instance with three parties having votes $\mathbf{v} = (5, 5, 2)$ and a weight vector $\mathbf{w} = (8, 8, 3, 2)$. The seat assignment returned by Adams $_{\omega}$ is $\mathbf{s} = (1, 2, 3, 3)$, assuming that ties are broken according to the ordering of \mathbf{v} . Thus, we have $r_{\mathbf{s}}(3) = 5$ for party 3. Suppose a weight-4 seat is added to \mathbf{w} so as to obtain the weight vector $\mathbf{w}^* = (8, 8, 4, 3, 2)$. Then Adams $_{\omega}$ returns $\mathbf{s}^* = (1, 2, 3, 1, 2)$. So party 3’s representation changes from $r_{\mathbf{s}}(3) = 5$ to $r_{\mathbf{s}^*}(3) = 4$.

Consider an instance with three parties having votes $\mathbf{v} = (21, 10, 10)$ and a weight vector $\mathbf{w} = (2, 2)$. The seat assignment returned by D’Hondt $_{\omega}$ is $\mathbf{s} = (1, 1)$, giving both seats to party 1 who obtain 4 in representation. Suppose a weight-3 seat is added to \mathbf{w} so as to obtain $\mathbf{w}^* = (3, 2, 2)$. Then D’Hondt $_{\omega}$ returns $\mathbf{s}^* = (1, 2, 3)$, assigning a seat to each party. So party 1’s representation changes from $r_{\mathbf{s}}(1) = 4$ to $r_{\mathbf{s}^*}(1) = 3$. \square

We leave open whether there is a WSAM that satisfies full-HM along with some of our stronger proportionality axioms. Instead, we try to achieve positive results by restricting the weight associated with an election’s additional seat. This consideration leads us to the following, weaker axiom—where, as opposed to full-HM, the extra $k+1$ -st seat must have a weight no larger than any of the original k seats.

Definition 13 (Minimal House Monotonicity, min-HM). *We say a WSAM F satisfies min-HM if for every election instance (\mathbf{v}, \mathbf{w}) and every $w^* \in \mathbb{N}_{\geq 1}$ such that $w^* \leq w_k$ and $\mathbf{w}^* = (w')_{w' \in W}$ is a non-increasing weight vector where $W = \{w \in \mathbf{w}\} \cup \{w^*\}$, it holds for $\mathbf{s} \in F(\mathbf{v}, \mathbf{w})$ and $\mathbf{s}^* \in F(\mathbf{v}, \mathbf{w}^*)$ that $r_{\mathbf{s}^*}(p) \geq r_{\mathbf{s}}(p)$ for every party $p \in [m]$.*

Positively, divisor methods clearly satisfy min-HM, as all seats prior to an extra seat are assigned in the same way.

Proposition 16. *All divisor methods satisfy min-HM.*

While much weaker than full-HM, min-HM is enough to differentiate between our WSAMs: the Greedy method—somewhat unsurprisingly given LRM’s failure of HM with unit weights—fails min-HM.

Proposition 17. *The Greedy method fails min-HM.*

Proof. Consider three parties, the vote vector $\mathbf{v} = (5, 4, 1)$, and a weight vector $\mathbf{w} = (4, 3, 2)$. The seat assignment returned by the Greedy method is $\mathbf{s} = (1, 2, 3)$. Now for the weight vector $\mathbf{w}^* = (4, 3, 2, 1)$, the method assigns the first two seats to parties 1 and 2, respectively. In round 3, note that $\omega \cdot v_p/n - g_p(3) = 1$ for parties $p \in [3]$. Suppose that party 1 is assigned the third seat via tie-breaking. For the next round, parties 2 and 3 remain equally entitled to last seat. Suppose that tie-breaking leads to this seat being assigned to party 2. The method then returns the seat assignment $\mathbf{s}^* = (1, 2, 1, 2)$ with party 3 receiving less representation than in the original election instance. \square

Table 1. Summary whether a WSAM satisfies (✓) or violates (✗) a given axiom (for axioms satisfied by at least one of our WSAMs).

	WEFX	WLQ-X-r	WUQ-X	WUQ-1	min-HM
Adams _ω	✓	✗	✓	✓	✓
D’Hondt _ω	✗	✓	✗	✗	✓
Greedy Method	✗	✓	✓	✓	✗

We leave the task of investigating other natural weakenings of full-HM to future work.

6 Bundestag Case Study

We now present a case study regarding the allocation of chair positions to parties in Bundestag committees. These are committees with specific responsibilities (e.g., Budget or Defence), established anew in every political cycle. Usually, which party gets to nominate the chair of a committee is the result of negotiation—but when no consensus can be found, which happened in 8 out of 20 parliamentary sessions since 1949, standard apportionment methods are used. Crucially, different committees have different size and influence, so positions differ in value: the role of chair of the Budget Committee will be valued more highly than that of the Tourism Committee.

Our objective is to compare the results produced by our weighted apportionment methods with the historical results, full details of which are publicly available [26, 39]. When applying our methods, we interpret the size of a committee as a proxy for its importance. Of course, this can only ever be an approximation of the true value of a committee, but we believe it nonetheless can provide a first impression of how the WSAMs we study perform on real-world data.

The existing data covers all 20 legislative periods in Germany between 1949 and 2021. For each of these periods, between 4 and 7 parties entered parliament, between 19 and 28 committees were formed, and each committee had between 9 and 49 members.⁹ To construct an election instance for a given legislative period, we take the members of parliament to be the voters, we take the chair positions for the committees of that period to be the seats to be filled, and we use the sizes of those committees as the weights of the seats.

For each of the 20 election instances thus created, we are interested in how the historical Bundestag seat assignment fares in terms of representing parties proportionally and how that assignment compares to the assignments returned by Adams_ω, D’Hondt_ω, and Greedy. Given a seat assignment s , we first ask which of our nine axioms it satisfies. For testing WLQ^o and WUQ^o, we encoded the computations of the obtainable weighted quotas $\ell^o(p)$ and $u^o(p)$ into Integer Linear Programs (ILP) and employed an ILP solver to compute them efficiently. As the binary measure of axiom satisfaction provides only limited insight, we introduce a finer-grained measure, *average distance to the weighted quota*: $\delta(s) = 1/n \cdot \sum_{p \in [m]} |r_s(p) - q(p)|$.

This leads to eleven measures for the proportionality of a given seat assignment, which we use to evaluate the Bundestag allocation. The results are summarised in Table 2.¹⁰ The historical seat assignments perform reasonably well in terms of our measures of quality, but both D’Hondt_ω and Greedy do markedly better. This is borne out by the rate at which the axioms are satisfied and the results for the distance measure. Notably, not only do the Bundestag seat assignments yield a worse median and maximum distance than all the WSAMs,

⁹ In case any relevant data points (such as the size of a committee) changed over the course of a legislative period, we always used the start of that period as our point of reference.

¹⁰ The code used to generate these results is publicly available [20].

Table 2. Summary of results for the 20 Bundestag committee election instances for 1949–2021. For each of the four seat assignments, the table shows: (i) for each axiom, the percentage of election instances for which the axiom is satisfied; and (ii) for our distance measure, the median and maximum distances across the 20 election instances.

	Bundestag	Adams _ω	D’Hondt _ω	Greedy
WLQ ^o (%)	0	0	25	30
WLQ-X (%)	20	5	95	100
WLQ-X-r (%)	20	35	100	100
WLQ-1 (%)	45	80	100	100
WUQ ^o (%)	0	0	5	5
WUQ-X (%)	20	100	90	100
WUQ-1 (%)	50	100	100	100
WEFX (%)	0	100	10	25
WEF1 (%)	10	100	30	50
Median δ	17.1	16.6	4.7	2.5
Maximum δ	66	30.8	6.6	5.9

but D’Hondt_ω and Greedy significantly outperform the Bundestag assignments in this metric (significance level $\alpha = 0.05$). Of the latter group, Greedy produces lower distance results across the board, even compared to D’Hondt_ω. However, these differences between Greedy and D’Hondt_ω are not statistically significant. Adams_ω performs badly with respect to the distance measure, but less so with respect to the envy-freeness axioms.

Despite the small sample size, our results suggest that D’Hondt_ω and Greedy are deserving of further investigation.

7 Conclusion and Future Work

We introduced a model for apportionment with weighted seats, and generalised standard methods and axioms from the apportionment literature to this model. While direct generalisations of standard axioms yield (mostly) negative results, mild relaxations are amenable to positive results (see Table 1). This positive outlook is further supported, particularly for the D’Hondt_ω and Greedy methods, by our experimental case study on Bundestag committee assignments.

Besides the open questions regarding house monotonicity (see Section 5), there are two natural directions for future work. The first is a study of other prominent apportionment properties and rules, notably population monotonicity and the Sainte-Laguë method. The second is an extension of the weighted-seat notion to more general settings, e.g., multi-winner voting. We recently have taken initial steps in this direction [21]. But obtaining positive results in this more general setting is challenging. For instance, we find that lifting even the seemingly mild assumption of full supply results in the weakest of our axioms, i.e., WLQ-1 and WUQ-1, ceasing to be satisfiable. Concrete examples are provided in the full version of this paper [22].

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